03-29-06

IPW

Docket 17355-CIP3 (BOT)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LANCE E. STEWARD et al.

Serial Number: 10/757,077

Filed: January 14, 2004

For: CLOSTRIDIAL NEUROTOXIN

COMPOSITIONS AND MODIFIED CLOSTRIDIAL

**NEUROTOXINS** 

Examiner: HAYES, Robert Clinton

Art Unit: 1649

Confirmation No.: 5352

Irvine, California

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant's provide with regard to the patent application entitled CLOSTRIDIAL NEUROTOXIN COMPOSITIONS AND MODIFIED CLOSTRIDIAL NEUROTOXINS, filed herewith one copy of documents of which they are aware, which may be material to the examination of this application, and in respect of which there may be a duty of disclosure under 37 C.F.R. §1.56. A listing of documents submitted is set forth on the attached Information Disclosure Citation (Form PTO-1449).

While these documents may be material pursuant to 37 C.F.R. §1.56, their disclosure is not intended to constitute an admission that the documents are prior art in regard to this invention. The filing of this Statement should not be construed to mean that a search has been conducted or that no other material documents or information exists. These documents were disclosed in an International Search Report in the foreign counterpart of the above-identified application, and the International Search Report was received less than three (3)

months ago. Please do not hesitate to contact the undersigned should any questions arise regarding this Statement. Please do not hesitate to contact the undersigned should any questions arise regarding this Statement.

The Commissioner is hereby authorized to charge any fees required or necessary for the filing, processing or entering of this paper or any of the enclosed papers, and to refund any overpayment, to deposit account 01-0885.

Respectfully submitted,

Date: March 27, 2006

Dean G. Stathakis, Ph.D. Registration Number 54,465

Please direct all inquiries and correspondence to:

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Date: March 28, 2006

## **CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10**

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date March \_2006, in an envelope as "Express Mail Post Office to Addressee" Mailing Label number EV 763 388 722 US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Adriane Giberson

Name of person mailing paper

Signature of person mailing paper



LIST OF ART CITED BY APPLICANT

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ATTY. DOCKET	: 17355-CIP3 (BOT)	SERIAL NO.: 10/757,077
APPLICANT:	LANCE E. STEWARD, et al.	TITLE: CLOSTRIDIAL NEUROTOXIN COMPOSITIONS AND MODIFIED CLOSTRIDIAL NEUROTOXINS
FILING DATE:	JANUARY 14, 2004	GROUP: 1649

#### **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (if applicable)
	AA	2003/219462A1	11/27/2005	STEWARD et al.	424	239.1	06/04/2002
	AB	2003/027752A1	02/06/2003	STEWARD et al.	514	12	07/20/2001
	AC						

### **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION (yes/no)
ВА	WO 02/08268A2	01/31/2002	PCT	C07K	14/33	Y
ВВ						

#### **OTHER ART**

(Including Author, Title, Date, Pertinent Pages, etc.)

	CA	Fernandez-Salas, E., et al., Plasma membrane localization signals in the light chain
		of botulinum neurotoxin serotype A, Society for Neuroscience Abstract Viewer and
		Itinerary Planner, Vol. 2003; pages Abstract No. 9.2
	CB	Foran, Patrick G., et al., Evaluation of the therapeutic usefulness of botulinum
		neurotoxin B, C1, E, and F compared with the long lasting type A. Basis for distinct
		durations of inhibition of exocytosis in central neurons, Journal of Biological
		Chemistry, Vol. 278, No. 2, 10 January 2003, pp. 1363-1371
	CC	Wang Xingmin, et al., Genetic analysis of type E botulinum toxin-producing
		Clostridium butyricum strains, Applied and Environmental Microbiology, vol. 66, no.
		11, November 2000, pp. 4992-4997
_	CD	Fernandez-Salas, E., et al., Plasma membrane localization signals in the light chain
		of botulinum neurotoxin, Proceedings of the National Academy of Sciences of the
		United States of America, 2 March 2004, vol. 101, no. 9, pp. 3208-3213
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.